

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yvonne HEISCHKEL, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/14286

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD FOR THE PRODUCTION OF RADIATION-CURABLE URETHANE (METH)ACRYLATES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 271997US0PCT		SERIAL NO. 10/539830	
LIST OF REFERENCES CITED BY APPLICANT				New U.S. PCT Application Based on PCT/EP03/14286			
				APPLICANT Yvonne HEISCHKE, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	4,380,604	04/19/83	NEUHAUS, Karl-Friedrich et al.			
	AB	4,187,383	02/05/80	COWHERD, III, Frank G. et al.			
	AC	3,769,318	10/30/73	WINDEMUTH, Erwin			
	AD	2003/0050390	03/13/03	WEIKARD, Jan et al.			
	AE	6,207,744	03/27/01	PAULUS, Wolfgang et al.			
	AF	6,437,172	08/20/02	LEUBE, Hartmann F. et al.			
	AG	6,458,991	10/01/02	PAULUS, Wolfgang et al.			
	AH	6,818,791	11/16/04	MARTIN, Friedrich-Georg et al.			
	AI	6,562,464	05/13/03	SCHWALM, Reinhold et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AJ	0 686 621	12/13/95	EP(Equivalent of US 5,602,191)			NO
	AK	1 043 351	10/11/00	EP(Equivalent of US 6,319,983)			NO
	AL	0 928 800	07/14/99	EP			NO
	AM	0 054 105	06/23/82	EP			NO
	AN	33 16 593	11/08/84	DE			NO
	AO	0 279 303	08/24/88	EP			NO
	AP	0 921 168	06/09/99	EP			NO
	AQ	1 644 798	09/24/70	DE			NO
	AR	27 37 406	02/22/79	DE			NO
	AS	0 053 749	06/16/82	EP			NO
	AT	195 25 489	01/16/97	DE			NO
	AU	0 942 022	09/15/99	EP			NO
	AV	1 085 065	03/21/01	EP			NO
	AW	1 138 710	10/04/01	EP			NO
	AX	2 115 373	10/21/71	DE			NO
	AY	102 00 583	07/10/03	DE			NO
<input checked="" type="checkbox"/> Additional References sheet(s) attached							
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yvonne HEISCHKE, et al.			
				FILING DATE Herewith			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	AZ	199 41 136	03/01/01	DE		NO	
	AAA	38 43 843	07/05/90	DE		NO	
	AAB	38 43 854	06/28/90	DE		NO	
	AAC	199 37 911	02/15/01	DE		NO	
	AAD	199 29 258	12/28/00	DE		NO	
	AAE	0 331 845	09/13/89	EP		NO	
	AAF	0 554 651	08/11/93	EP		NO	
	AAG	100 63 175	06/20/02	DE		NO	
	AAH	0 000 194	01/10/79	EP		NO	
	AAI	0 649 866	04/26/95	EP		NO	
	AAJ	0 007 508	02/06/80	EP		NO	
	AAK	0 057 474	08/11/82	EP		NO	
	AAL	196 18 720	11/14/96	DE		NO	
	AAM	0 495 751	07/22/92	EP		NO	
	AAN	0 615 980	09/21/94	EP		NO	
	AAO	198 26 712	12/23/99	DE		NO	
	AAP	199 13 353	09/28/00	DE		NO	
	AAQ	98/33761	08/06/98	WO		NO	
	AAR	199 57 900	06/07/01	DE		NO	
	AAS	101 43 630	03/27/03	DE		NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAT	Analytiker Taschenbuch, Vol. 4, pages 433-442, Berlin 1984.					
	AAU	Heinz KROPF: Methoden der Organischen Chemie, 4. Auflage, Thieme Verlag Stuttgart, Hrsg. Vol. 6/1a, Part 1, pages 373-385, 1979.					
	AAV	Ullmann's Encyclopedia of Industrial Chemistry, 6 th Edition, 2000 Electronic Release, "Liquid-Liquid Extraction-Apparatus"					
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Docket No.: 271997US0PCT

STATEMENT OF RELEVANCY

1) References AA and AJ- AL have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) Reference _____ have been cited in the corresponding _____ Search Report. A copy of this reference is being submitted herewith.

3) References AB-AD and AM-AAV are discussed in the specification. A copy of these references is being submitted here with.

4) Reference _____ is additional prior art known to Applicant. A copy of these references is being submitted herewith.

0/539830

JC05 Rec'd PCT/PTO 17 JUN 2005

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
PER CLIENT	07/148,354	01/25/88		BECK, et al.
0524-1879-0X	07/958,798	10/09/92		FAUL, et al.
0524-3074-0	6,207,744	03/27/01		PAULUS, et al.
254226US0PCT	10/500,009	06/23/04		HAMMON, et al.
196370US0	6,437,172	08/20/02		LEUBE, et al.
192731US0	6,458,991	10/01/02		PAULUS, et al.
238869US0PCT	6,818,791	11/16/04		MARTIN, et al.
PER CLIENT	06/055,360	UNKNOWN		UNKNOWN
0524-3136-0	09/332,980	06/15/99		BECK, et al.
213704US0PCT	6,562,464	05/13/03		SCHWALM, et al.
222955US0PCT	10/130,599	05/21/02		BECK, et al.